

Applicant or Patentee: Anthony T. MAURELLI, et al.
 Serial or Patent Nos.: U.S. Patent No. 6,344,201 and SN 10/034,213
 Filed or Issued: ISSUED February 5, 2002 and FILED January 3, 2002

Atty Docket Nos. 04995.0044-00
 04995.0044-01

For: METHODS OF IDENTIFYING BACTERIAL GENES THAT ARE INCOMPATIBLE WITH BACTERIAL PATHOGENICITY, AND THE USE OF SUCH GENES, SUCH AS CADA, TO REDUCE PATHOGENICITY IN A BACTERIA OR TO COMBAT PATHOGENIC BACTERIAL INFECTIONS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION The Regents of the University of Michigan
 ADDRESS OF ORGANIZATION Wolverine Tower, Room 2071, 3003 South State Street
Ann Arbor, Michigan 48109-1280

TYPE OF ORGANIZATION

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE
 (NAME OF STATE) _____ OF THE UNITED STATES OF AMERICA
 (CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3) IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled METHODS OF IDENTIFYING BACTERIAL GENES THAT ARE INCOMPATIBLE WITH BACTERIAL PATHOGENICITY, AND THE USE OF SUCH GENES, SUCH AS CADA, TO REDUCE PATHOGENICITY IN A BACTERIA OR TO COMBAT PATHOGENIC BACTERIAL INFECTIONS

by inventors Anthony T. Maurelli, Reinaldo E. Fernández, Alessio Fasano, and Craig A. Bloch described in:

- ☐ the specification filed herewith
☒ Application Serial No. 10/034,213 filed January 3, 2002
☒ Patent No. 6,344,201 issued February 5, 2002

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

KENNETH J. NISBET

Executive Director, UM Technology Transfer

NAME OF PERSON SIGNING

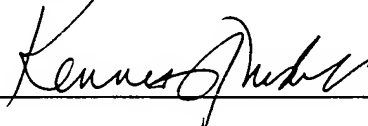
TITLE OF ORGANIZATION

ADDRESS OF PERSON SIGNING

The Regents of the University of Michigan

Wolverine Tower, Room 2071, 3003 South State Street
Ann Arbor, Michigan 48109-1280

Signature



MAY 22 2002

Page 1 of 2

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NAME OF ORGANIZATION University of Maryland, Baltimore
ADDRESS OF ORGANIZATION 520 West Lombard Street, Baltimore, Maryland 21201-1627
TYPE OF ORGANIZATION

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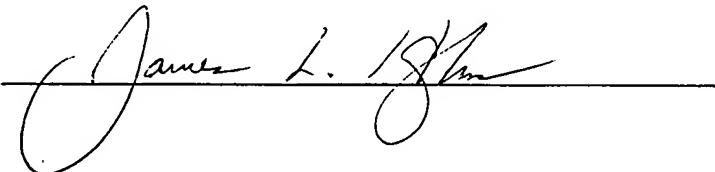
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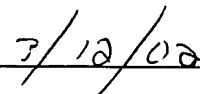
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING James Hughes, Vice President, Research & Development
TITLE OF ORGANIZATION University of Maryland, Baltimore
ADDRESS OF PERSON SIGNING 520 West Lombard Street, Baltimore, Maryland 21201-1627

Signature

A handwritten signature in cursive script, appearing to read "James L. Hughes", written over a horizontal line.

Date

A handwritten date "3/12/02" written over a horizontal line.



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NAME OF ORGANIZATION The Henry M. Jackson Foundation
for the Advancement of Military Medicine, Inc.
 ADDRESS OF ORGANIZATION 1401 Rockville Pike, Suite 600, Rockville, Maryland 20852
 TYPE OF ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
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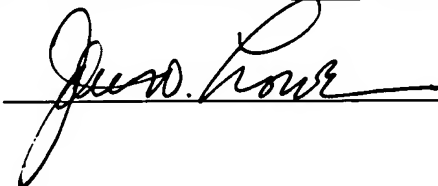
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NAME OF PERSON SIGNING John W. Lowe
TITLE OF ORGANIZATION The Henry M. Jackson Foundation for the Advancement of Military Medicine, Inc.
ADDRESS OF PERSON SIGNING 1401 Rockville Pike, Suite 600, Rockville, Maryland 20852

Signature



Date

4/9/02